

A Team of Teams – With One Mission:
“Protecting Our Commonwealth”



KYEM



Kentucky Emergency Management

Unbridled Pursuit of Excellence



"A Team of Teams – With One Mission: Protecting our Commonwealth"

FROM DECLARATION TO RECOVERY:

**Integrating the
New Declaration Requirements into the
Recovery Process**

December 12, 2012



Disaster Assistance

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Recovery Mission:

Ensure those who have been, or may be, affected by disasters have access to the full range of federal and state assistance programs in a timely manner and that applicants receive a superior level of service in the delivery of all KYEM Recovery Programs.

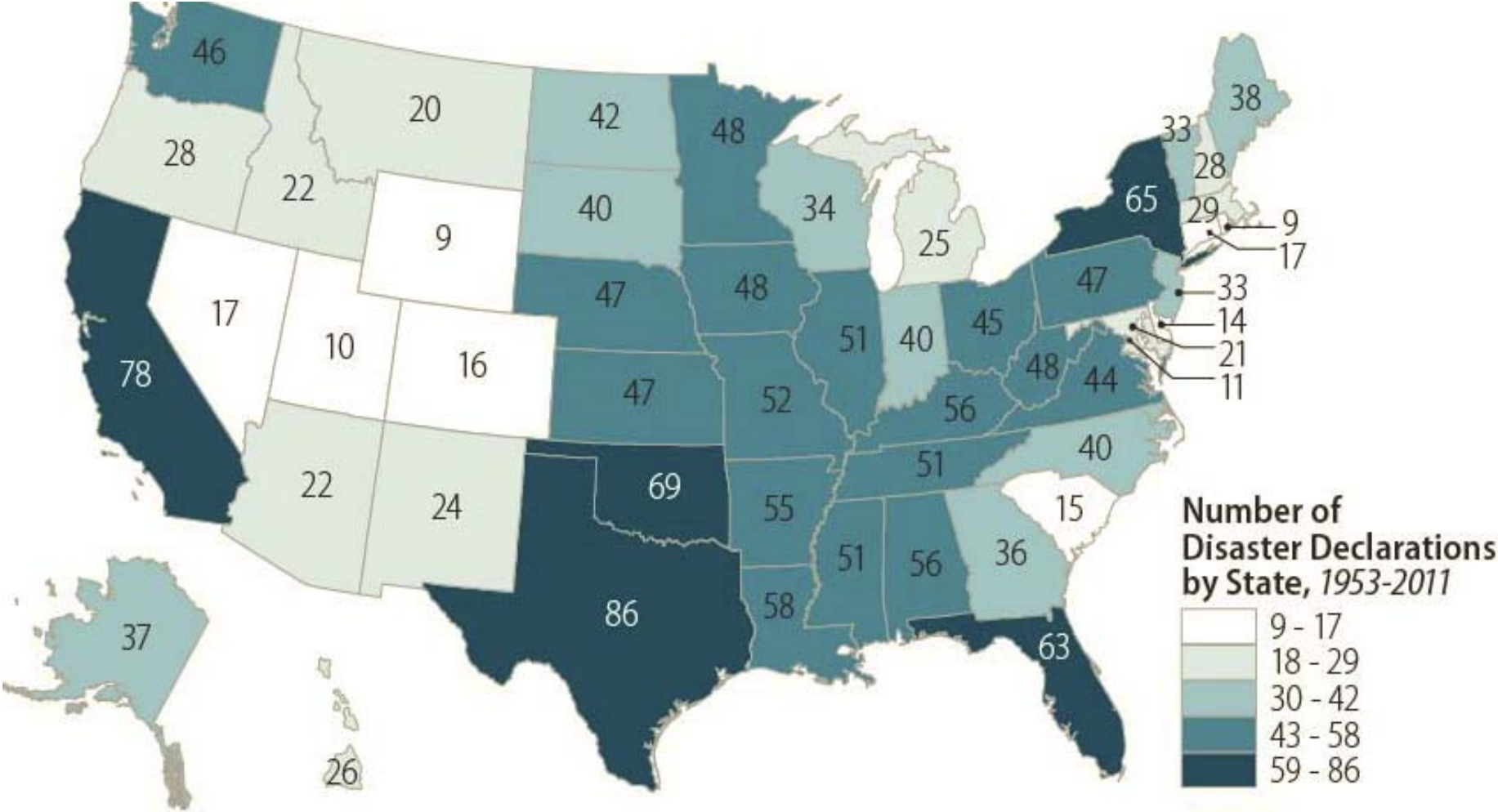


What's the big deal?

- **56 disaster declarations for KY since 1953**
- **KY ranks 7th nationwide in disaster frequency**
- **KY Ranks 12th nationwide in disaster damages**
- **FEMA provides disaster assistance funding for:**
 - ✓ **State and Local Governments**
 - ✓ **Governmental Entities (i.e. School Districts)**
 - ✓ **Individuals and Households**
 - ✓ **Private Nonprofits**

Major Disaster Declarations by State

1953-2011





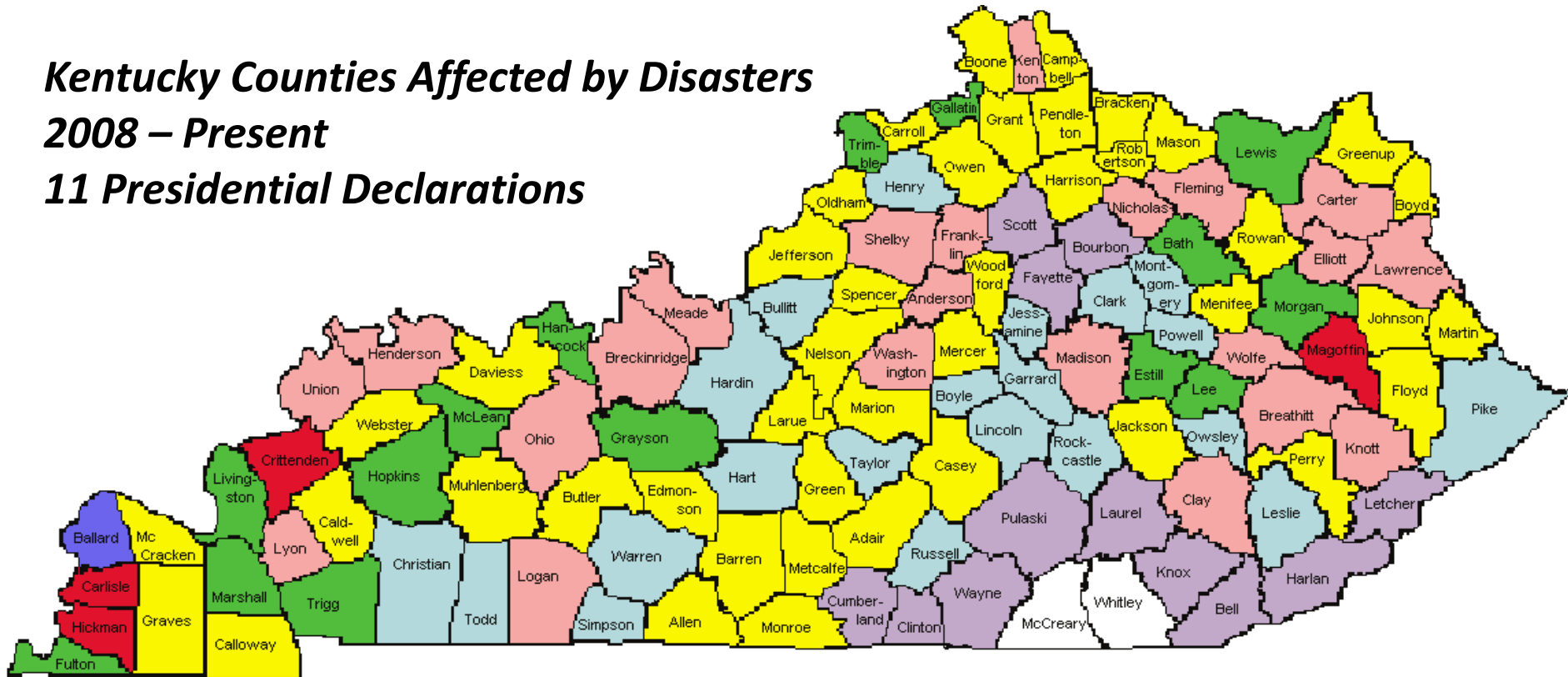
KYEM

Kentucky Emergency Management

Declaration Frequency

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Kentucky Counties Affected by Disasters 2008 – Present 11 Presidential Declarations



Purple: 7
 Red: 6
 Green: 5

Pink: 4
 Yellow: 3
 Blue: 2

Lilac: 1
 White: 0

KY Population: 4.3 Million
 Unaffected: 53,943



Disaster Impact

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Recovery Branch currently oversees 20 Open disasters with:

- 57,000 Applicants
- 72,000 Awards, Grants, Projects
- \$ 960 Million in Recovery, Mitigation, and Individuals and Households Assistance



Under the Robert T. Stafford Act (CFR 44), the follow declarations can be made:

- Fire Management Assistance
- Emergency Assistance
- Major Disaster



Fire Management Assistance

"A Team of Teams – With One Mission: Protecting our Commonwealth"

“The President is authorized to provide assistance, including grants, equipment, supplies, and personnel, to any State or local government for **the mitigation, management, and control of any fire** on public or private forest land or grassland that threatens such destruction as would constitute a major disaster.

Reference: 42 U.S.C. § 5187



Emergency Disaster

"A Team of Teams – With One Mission: Protecting our Commonwealth"

“Any occasion or instance for which, in the determination of the President, Federal assistance is **needed to supplement State and local efforts** and capabilities to save lives and to protect property and public health and safety, or to lessen or avert the threat of a catastrophe in any part of the United States.”

Reference: 44 CFR § 206.2(a)(9)



Emergency Assistance Declarations

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Characteristics:

- Is beyond State and local abilities
- Supplementary emergency assistance
- Provides for technical assistance, essential community services, warnings, health and safety measures, management of immediate threats, debris removal , food distribution
- Assistance is limited to \$5 million.
- Request is to be made within 5 days of event, but no longer than 30 days after the occurrence of the incident.



Major Disaster

"A Team of Teams – With One Mission: Protecting our Commonwealth"

“Any natural catastrophe . . . or, regardless of cause, any fire, flood, or explosion in any part of the United States which in the determination of the President causes **damage of sufficient severity and magnitude** to warrant major disaster assistance **to supplement the efforts and available resources of States, local governments, and disaster relief organizations in alleviating the damage, loss, hardship, or suffering** caused thereby.”

Reference: 44 CFR § 206.2(a)(17)



Major Disaster Declaration

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Characteristics:

- Is beyond State and local capabilities.
- Supplements available resources of:
 - State and local governments efforts
 - Disaster relief organizations response
 - Insurance coverage
- Must be requested within 30 days of the incident.

Reference: 44 CFR § 206.36



Declaration Process

"A Team of Teams – With One Mission: Protecting our Commonwealth"

1. Incident Occurs
2. State/Counties perform Initial Damage Assessments
3. State requests Joint Damage Assessments
4. FEMA /State/Counties conduct Joint Preliminary Damage Assessments
5. Thresholds are met
6. Governor submits request to President for a Major Disaster Declaration
7. FEMA acknowledges request
8. FEMA Region IV submits recommendation to President
9. Presidential decision
10. Declaration declared



Request for Declaration Changes

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Why the changes?

Congressional concerns regarding:

- Increased number of disaster declarations
- Declarations trigger other federal programs
- Thresholds are too low and have become basis for declaration decision
- Low number of declaration denials
- Failure of FEMA to determine capacity of state and local governments to respond to and recover from
- External pressures to declare
- Lack of transparency in decision making process



Declaration Request Changes

"A Team of Teams – With One Mission: Protecting our Commonwealth"

- New procedures became effective July 14, 2012
- New requirements are complex and require forethought and expanded data management
- Intent of new procedures is to prove:
 - extent of disaster is truly beyond capabilities of state and local recovery resources
 - assistance is needed and necessary



Declaration Process

"A Team of Teams – With One Mission: Protecting our Commonwealth"

How will this effect your county?

- Declarations may take longer to obtain
- It may be more difficult to obtain assistance
- More coordination, management, and records of response and recovery efforts will be necessary at the county level
- Counties will need a systematic, documented approach for responding to and recovering from disasters
- Counties will need to help KYEM make the case that assistance is necessary and needed



Declaration Decision Factors

"A Team of Teams – With One Mission: Protecting our Commonwealth"

- Extent of Damage
- Impact on affected areas, critical infrastructure
- Imminent threats to public health and safety
- Ability of State and Local governments to respond
- Were States of Emergency declared by State and affected counties?
- Recent disasters – past 12 months
- Recent non-disaster events – past 12 months
- Available recovery resources
- Other available assistance
- Other relevant information



"A Team of Teams – With One Mission: Protecting our Commonwealth"

ACCURATE FACTUAL ASSESSMENTS ARE VITAL to the NEW Declaration Process



KYEM

Kentucky Emergency Management

Declaration Determination Factors

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Individuals and Households Assistance Program Information Needed for Request

- Concentration and extent of damages
- Deaths and injuries
- Socioeconomic status of affected residents
- Extent of insurance coverage
- Affects and frequency of previous events
- Local and state efforts to assist
- Activities of volunteer groups
- Housing requirements
- Unemployment due to disaster



KYEM

Kentucky Emergency Management



Declaration Determination Factors

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Individuals and Households Assistance Program Information Needed for Request

- Impact on critical infrastructure
- Imminent threats to public health and safety
- Recovery abilities of State and Local governments
- Available response and recovery resources
- Volunteer assistance activities
- Unmet needs – housing requirements
- Unemployment due to disaster
- Economic impact to affected area



KYEM

Kentucky Emergency Management



Declaration Determination Factors

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Public Assistance Program

- Extent of eligible damages
- Threshold
- Local Impact
- Level of Insurance coverage
- Health and safety impact
- Disruption of critical services
- Damages to critical facilities
- Recovery abilities of State and Local governments
- Fiscal condition of State and Local governments
- Recent disaster and event history of impacted areas



Declaration Submission Requirements

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Only the Governor, may request a Major Disaster Declaration.

The Governor's Request has two required components:

1. FEMA Form 010-0-13 (new)
2. Governor's Request Cover Letter (expanded)



Request Form

"A Team of Teams – With One Mission: Protecting our Commonwealth"

NEW

- In addition to the Governors Request letter, a four-page form must be completed and submitted with letter
- Covers pertinent information

DEPARTMENT OF HOMELAND SECURITY
FEDERAL EMERGENCY MANAGEMENT AGENCY

OMB No. 1660-0009 Expires December 31, 2012

**REQUEST FOR PRESIDENTIAL DISASTER DECLARATION
MAJOR DISASTER OR EMERGENCY**

1. Request Date _____

Burden Disclosure Notice

Public reporting burden for this form is estimated to average 9 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting the form. This collection of information is required to obtain a benefit. You are not required to respond to this collection of information unless it displays a valid OMB control number. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street, SW, Washington, DC 20472, Paperwork Reduction Project (1660-0009) NOTE: Do not send your completed form to this address.

Completion of this form including applicable attachments satisfies legal requirements for emergency and major disaster declaration requests under 42 U.S.C. 5170 and 5191, respectively, as implemented at 44 C.F.R. 206.35 and 206.36. Failure to use this form may result in a failure to meet these requirements and/or a delay in processing the request.

2a. Name of State requesting declaration (as defined in Stafford Act 102, 42 U.S.C. 5122)		2b. State Population (as reported by 2010 Census)
3. Governor's Name	4a. Designation of State Coordinating Officer upon declaration (if available) and phone number	
4b. Designation of Governor's Authorized Representative upon declaration (if available) and phone number		
6. Declaration Request For: <input type="checkbox"/> Major Disaster (Stafford Act Sec. 401) <input type="checkbox"/> Emergency (Stafford Act Sec. 501(a))		
7. Incident Period	Beginning Date	End Date
	_____	_____
		or <input type="checkbox"/> Continuing
<i>If requesting a "continuing" incident period, enclose an official statement from a qualified Federal government agency acknowledged as a national authority in a specific incident field (e.g., United States Geological Survey for seismic incidents, the National Weather Service for flooding).</i>		



Declaration Request Form

"A Team of Teams – With One Mission: Protecting our Commonwealth"

NEW Declaration Form covers basic information:

- Information is required for every declaration request
- Description of:
 - ✓ Event - provided by the National Weather Service
 - ✓ Damages incurred
 - ✓ **State and local resources deployed or committed in response and recovery efforts**
- Joint preliminary damage assessments
- Anticipated involvement of other Federal Programs

KYEM and Counties



Governor's Declaration Request Letter

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Required Components

- Description of the event – as provided by NWS
- **Description of deaths, injuries and contributing circumstances**
- Volunteer activities of:
 - Red Cross
 - Salvation Army
 - Southern Baptist
 - **Others**
- Declared States of Emergency, where, when
KYEM and Counties



KYEM

Kentucky Emergency Management

Governor's Declaration Request Letter

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Impact of Disaster

- **Impact to the State and affected local communities**
 - Infrastructures – roads, bridges, etc.
 - Critical facilities – water, electricity, etc.
 - Government buildings
 - Estimated restoration period
 - Status of repairs
 - Health and safety hazards
 - Emergency conditions

KYEM and Counties

Unbridled Pursuit of Excellence



Governor's Declaration Request Letter

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Socioeconomic Demographics

- Elderly population
- Fixed income population
- Low income population
- Media household income
- Disabled population
- Pre-disaster unemployment rates

**KYEM compiles data from US Census, KY Labor,
Cabinet for Health and Family Services**



KYEM

Kentucky Emergency Management



Governor's Declaration Request Letter

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Fiscal Status

- Budget overview of Commonwealth
 - Will be provided by the Governor's Office of Budget and Management
- Budget overview of each affected county
- Fiscal status overview of each affected county

Provided by GOBM and DLG



Governor's Declaration Request Letter

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Preliminary Damage Assessments

- Where were assessments conducted
- What were are the damages by county
- Are there assessments yet to be performed

Compiled by KYEM



Governor's Declaration Request Letter

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Disaster History

- 12 month history of disaster declarations for state
- State expenditures to aid recovery efforts
- List of counties with sustained damages and 12 month disaster declaration history for each
- 12 month history of non-declaration events for state
- 12 month history, impact, and costs of non-declaration events for each county

KYEM and Counties



Governor's Declaration Request Letter

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Impediments to Disaster Response

- Economy of area – predisaster
- Disaster effect on state and local economies
- Terrain
- Inaccessibility
- Capacity or limitation of voluntary organizations active in the disaster

KYEM and Counties



Governor's Declaration Request Letter

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Infrastructure Damage Analysis

- Cost estimates – per category (debris, buildings, roads and bridges, etc.)
- % of total eligible PA estimated costs
- **Significant impacts to specific areas**
- Statewide per capita damage
- County per capita damage
- **Level of insurance coverage**
- **Severely impacted communities in counties not making threshold**

KYEM and Counties



Governor's Declaration Request Letter

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Major Snow Disaster Declarations

- Statement from State and applicant that event exceeds capacity to respond
- Description of State and **local attempts to deal with the event**
- Verification from the National Weather Service that the snowfall is:
 - Record
 - Near record

KYEM, Counties, and NWS



KYEM

Kentucky Emergency Management

Governor's Declaration Request Letter

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Information provide basis for Governor to:

- Certify that the need exceeds State and Local capabilities
- Certify Federal assistance is necessary to supplement the efforts and available resources of the state, local governments, disaster relief organizations and compensation by insurance for disaster related loses

KYEM



Governor's Declaration Request Letter

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Governor must:

- Name specific programs requested in the declaration for a major disaster
- Certify compliance of cost sharing requirements
- Include geographical areas requested

KYEM



KYEM

Kentucky Emergency Management

Local Government Responsibilities

"A Team of Teams – With One Mission: Protecting our Commonwealth"

County governments must be ready to gather and provide the following – as quickly as possible:

- Description of deaths and injuries, causes
- Activities of volunteer groups
- Impact to local infrastructure
- 12 month history of non-declaration events for county
- Level of insurance coverage
- Identification of severely affected communities when threshold is not met
- Efforts made to deal with snow emergencies



KYEM

Kentucky Emergency Management



Local Government Responsibilities

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Designate the right person in your government to be responsible for providing information

A request for needed information will be sent to

County J/E and EMD – make sure it is forwarded to proper person

Start now identifying information associated with the past 12 month's non-declaration events

Submit quarterly financial reports to DLG on time

Identify and train all potential applicants

Provide information to KYEM as quickly as possible after the event to ensure inclusion in the disaster.



KYEM Contacts

"A Team of Teams – With One Mission: Protecting our Commonwealth"

Stephanie Robey **502-607-5769**
Recovery Branch Manager

Jessica Mitchell **502-607-5762**
State Public Assistance Officer

Leslie Kennedy **502-607-5768**
State Hazard Mitigation Officer

Toll FREE Number **1-877-634-8175**

Email: KYEMRecovery@ng.army.mil



"A Team of Teams – With One Mission: Protecting our Commonwealth"

QUESTIONS ???

A Team of Teams – With One Mission:
“Protecting Our Commonwealth”



KYEM



Kentucky Emergency Management

Unbridled Pursuit of Excellence